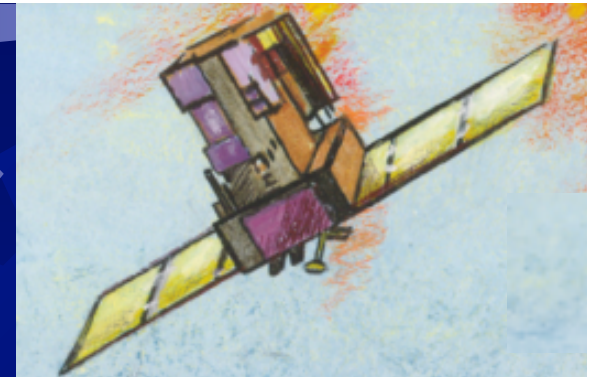


CCMC Workshop
Key Largo, FL



Relativistic Electron Alert System for Exploration (REleASE): Performance and Challenges

Arik Posner^{1,2}, Oliver Rother³, Bernd Heber⁴,
Reinhold Müller-Mellin⁴, and Jason Lee⁵

- (1) NASA Goddard Space Flight Center, Greenbelt, MD
- (2) also at NASA/HQ, SMD, Washington, DC
- (3) omrother Scientific Data Processing, Kiel, Germany
- (4) IEAP, Universität Kiel, Germany
- (5) Thomas Jefferson High School for Science and Technology,
Alexandria, VA



Outline

REleASE



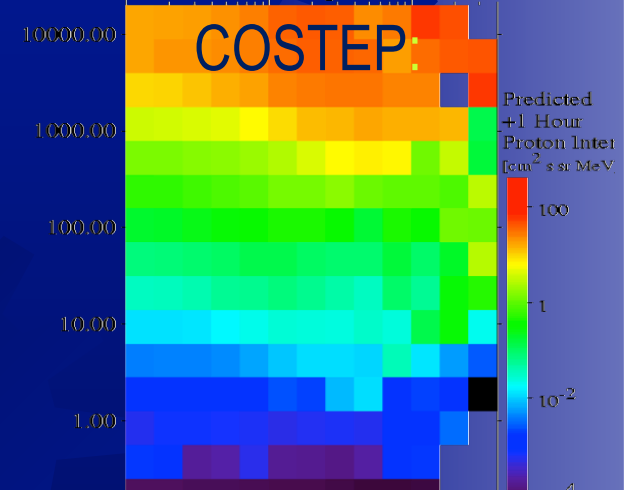
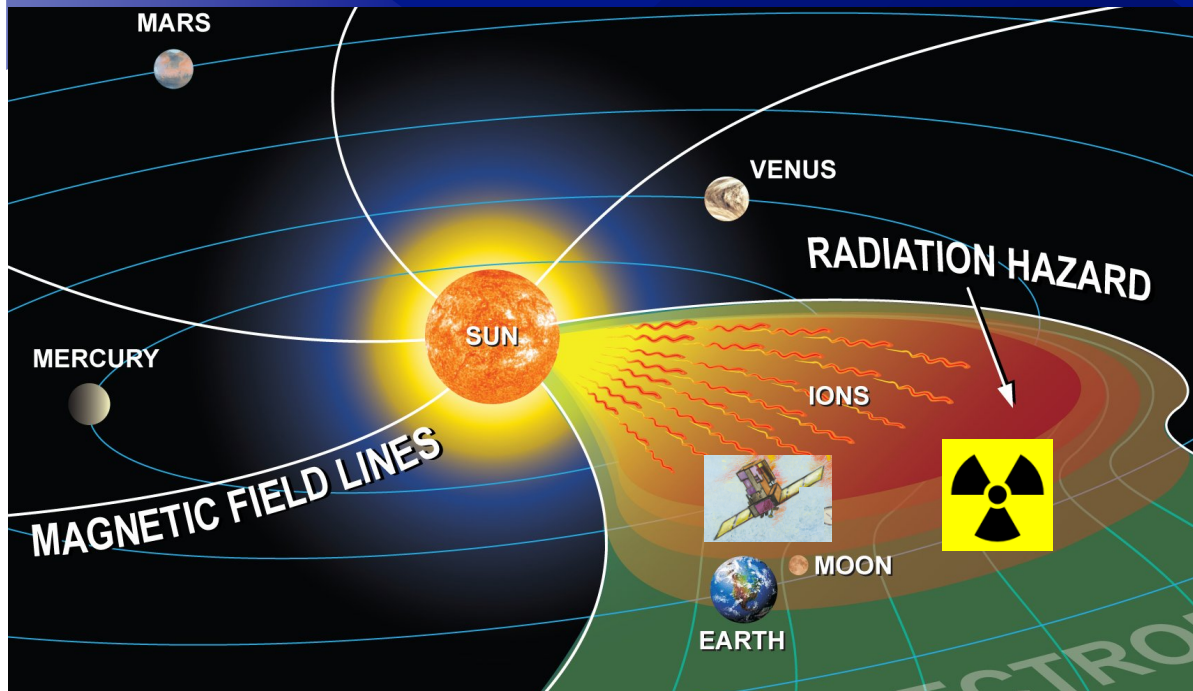
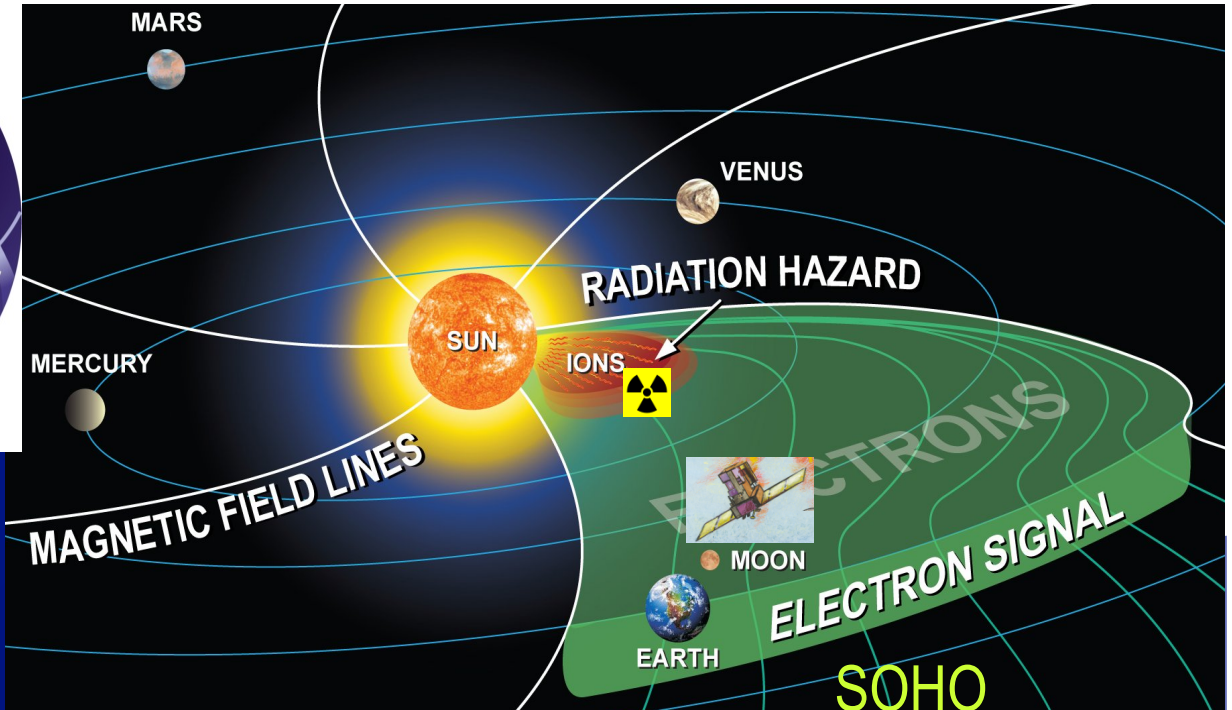
- ✦ Introduction
- ✦ Long-Term Performance
- ✦ SEP Instrumentation Limitations
- ✦ Comparison with other Methods
- ✦ Performance of Live Forecasting 2008-2011
- ✦ Summary

REleASE



Empirical Method:

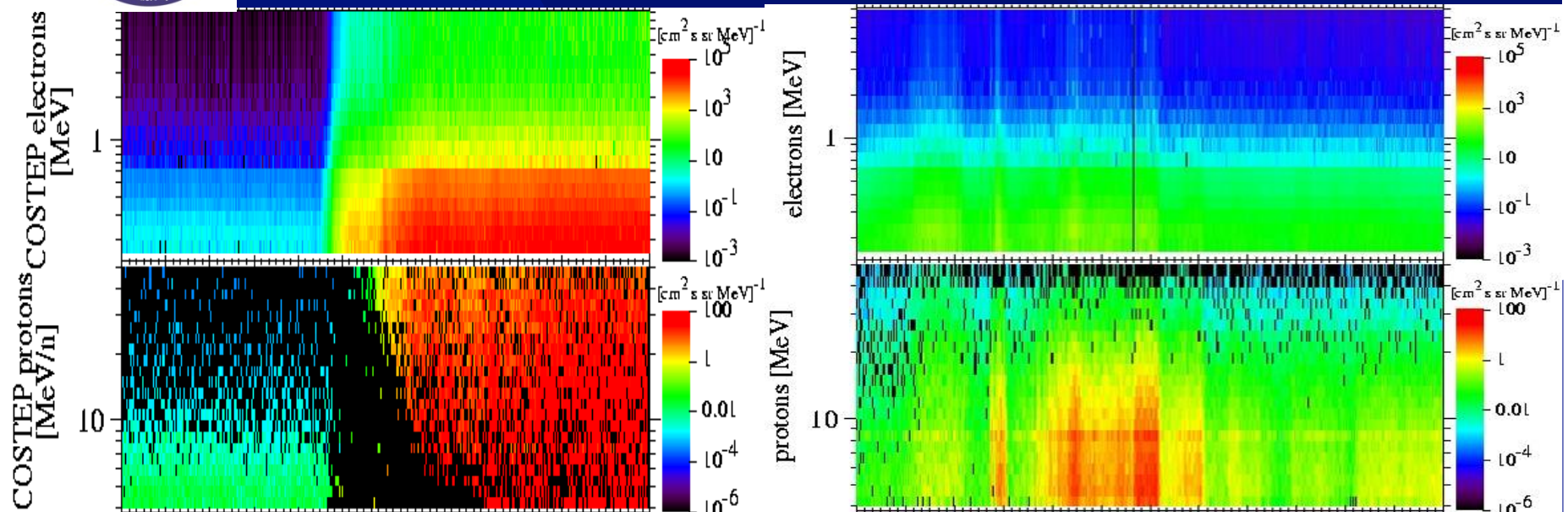
- e vs p Speed Difference
- 1+ AU Distance



Electron Rise Parameter

Empirical Forecasting Matrix Translates Solar Electron Data into +1h Proton Hazard Forecast
(Posner, *Space Weather*, 2007)

Classification: Prompt or Delayed?



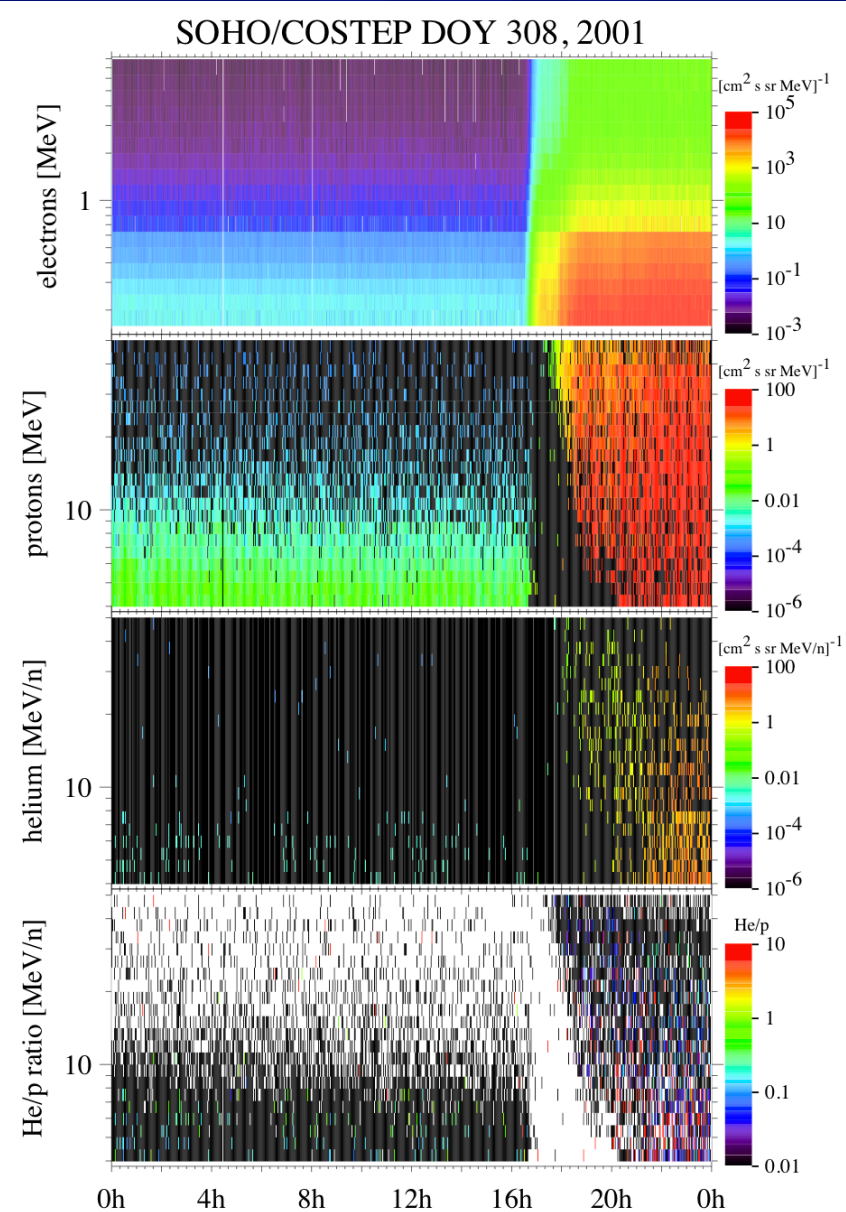
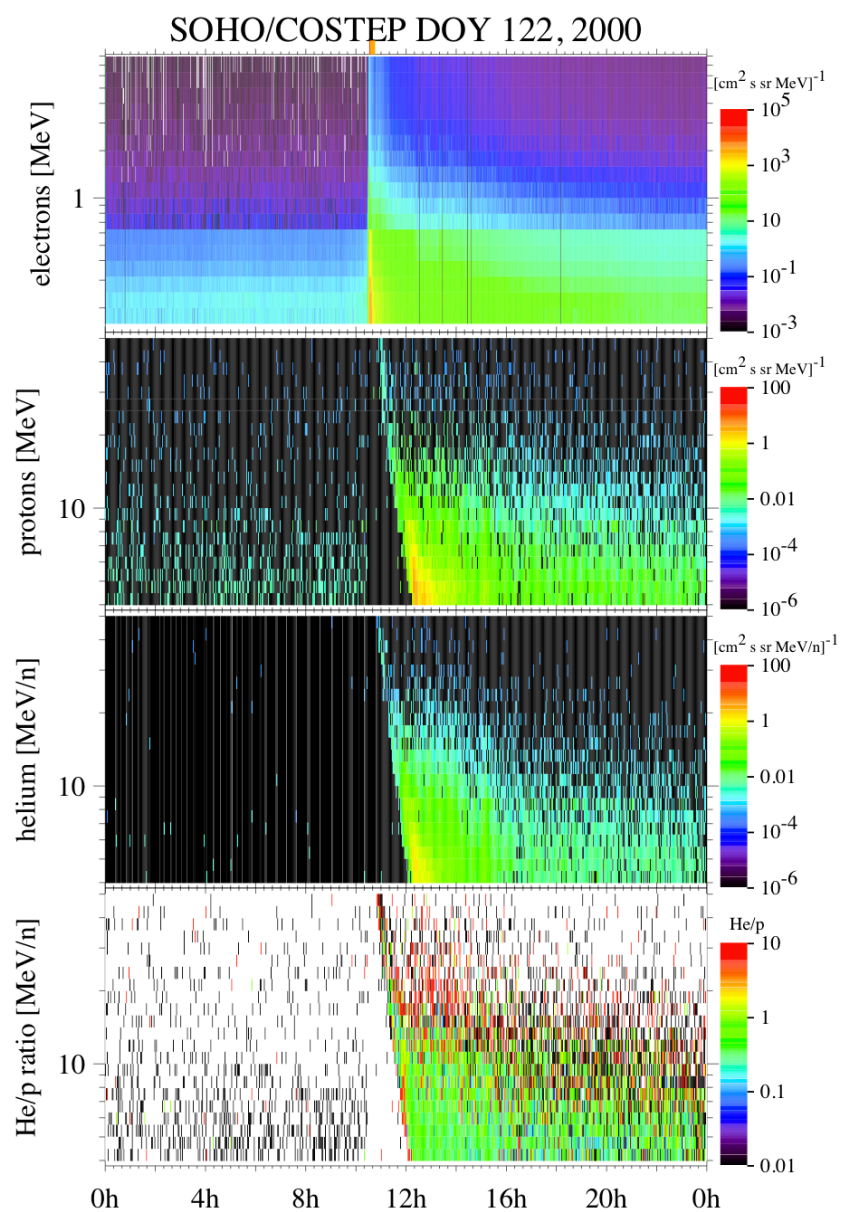
“Prompt” (left, November 04, 2001, 12 hours shown) and “Delayed” (right, July 28, 2000, full day) particle enhancements at 1 AU. A simple classification distinguishes events on whether they reveal proton velocity dispersion at onset (left, meaning that low-energy protons arrive later than fast, high-energy protons) or not (right side).

The forecasting technique introduced here is intended only to warn against Prompt SEP events.

Note the apparent disappearance of pre-event proton background in the Nov. 04, 2001 event. Rather large statistical uncertainties for protons intensities incur as long as extreme electron-to-proton ratios persist.

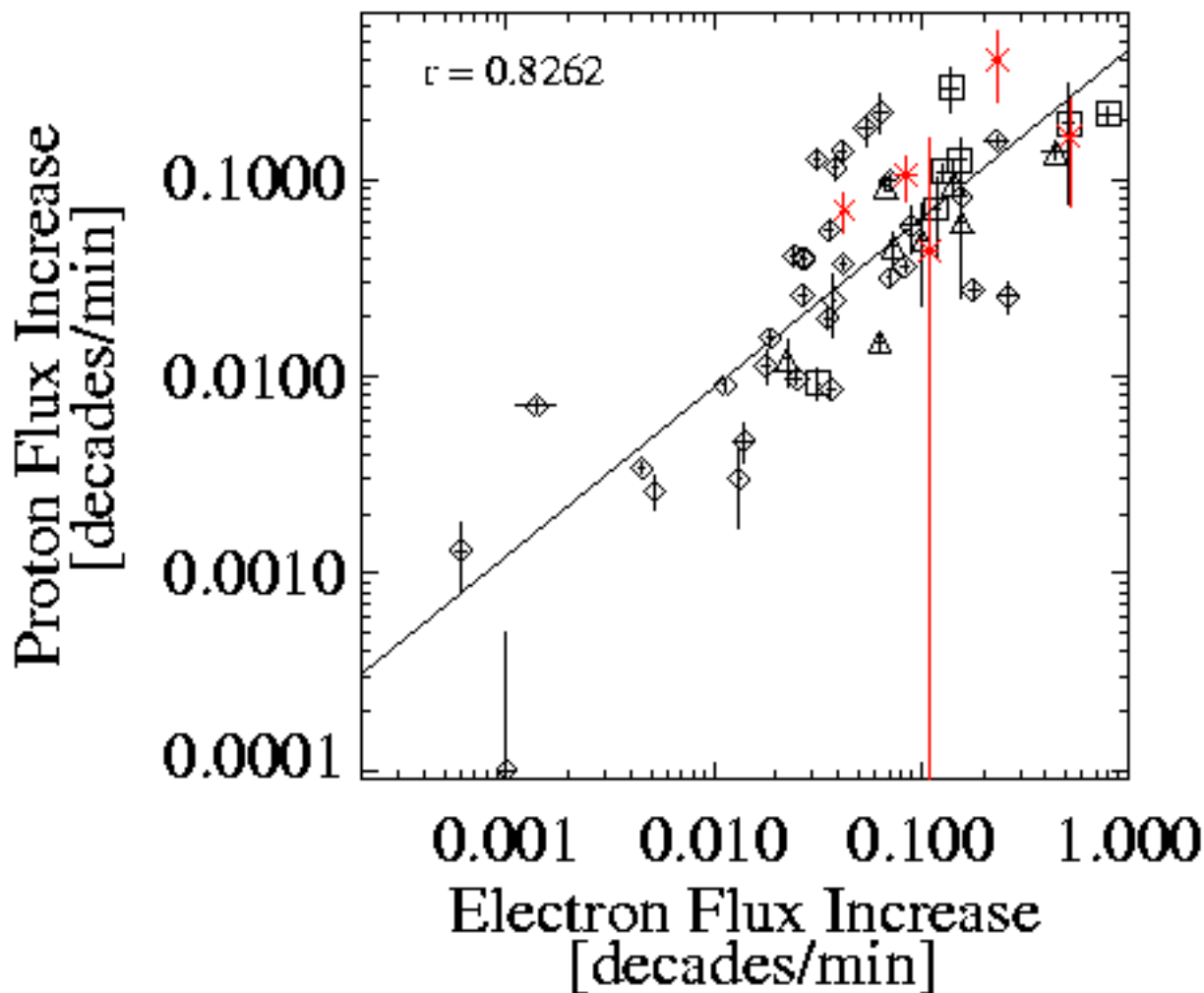


Not to be confused with “Impulsive” / “Gradual” Events





Comparison of SEP Rise Times



Diamonds: Regular Observing Mode
Triangles: Low Geometric Factor Mode
Squares: Extreme Fluxes, Not Used for Fit

Impulsive Events (red symbols) from List of Reames and Ng, *ApJ*, 2004

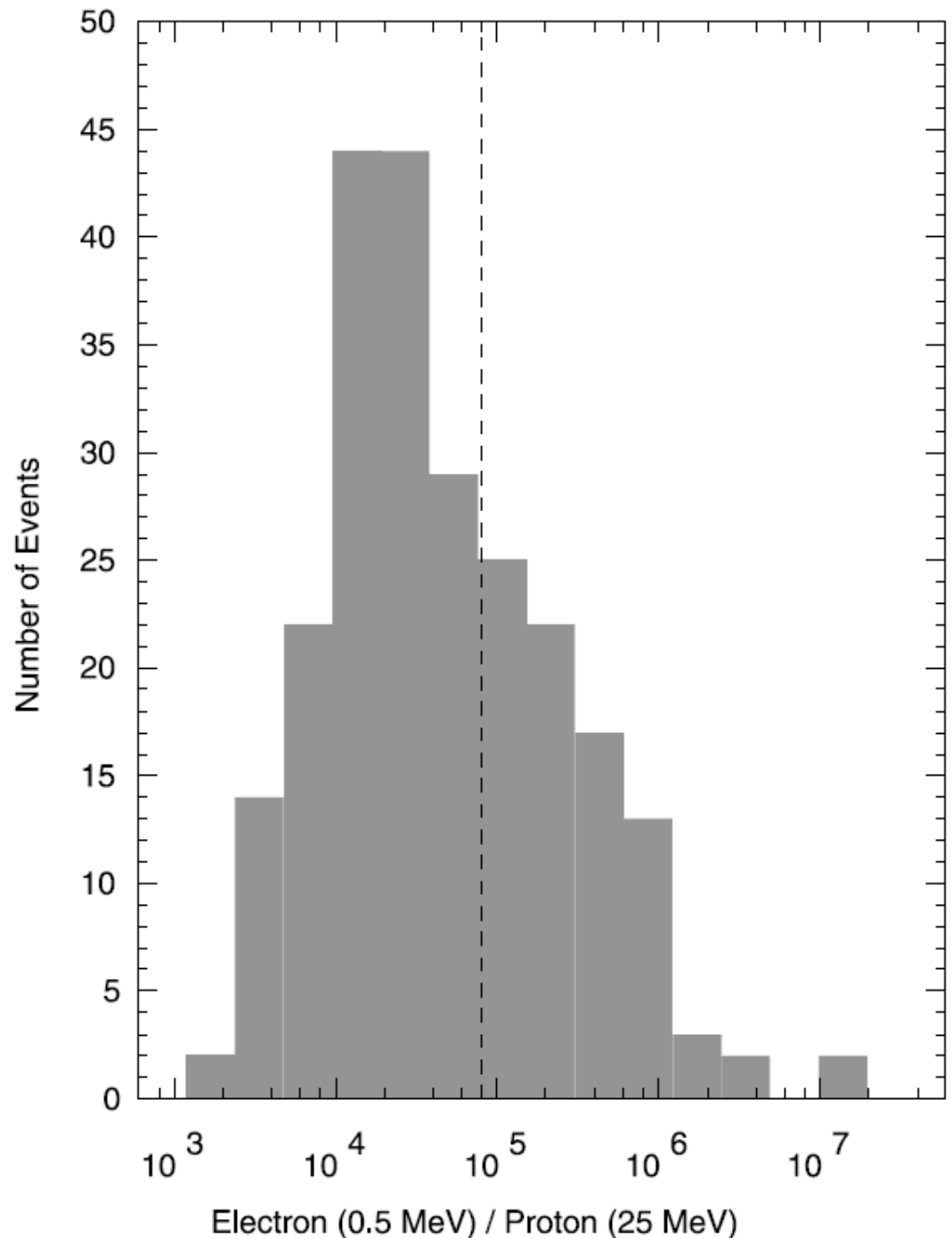
Posner, *Space Weather J.*, 2007



Cane, Richardson and von
Rosenvinge (JGR, 2010):

- Electron-to-proton ratio of 1997-2006 SEPs
- Most SEPs within factor of 10 of a median e/p ratio
- Continuum of event properties that does not support the simplest “two class” picture of SEP events

Posner, Rother, Heber,
Müller-Mellin & Lee



Fast Rise of Solar Energetic Particle Events

Acute Radiation
 Sickness Lower
 Threshold

Equivalent Dose
 Rate from SEP
 Protons Rises
 Rapidly

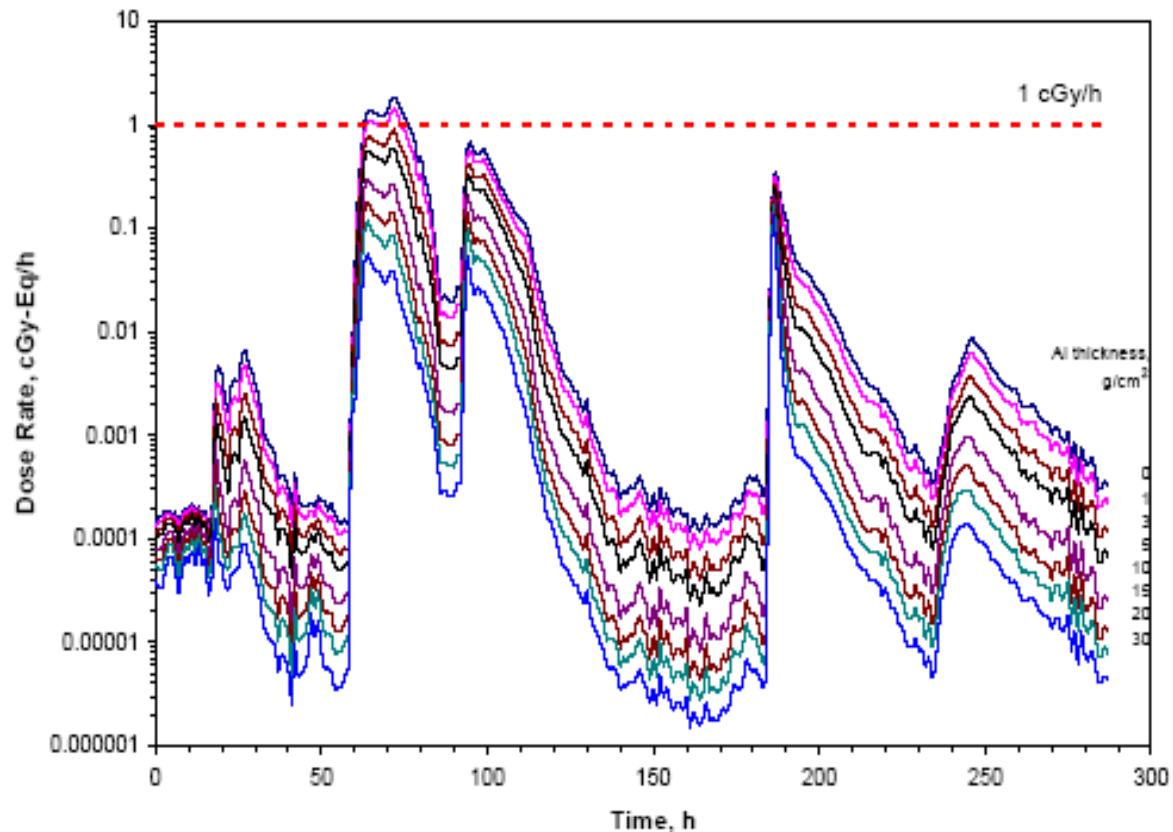
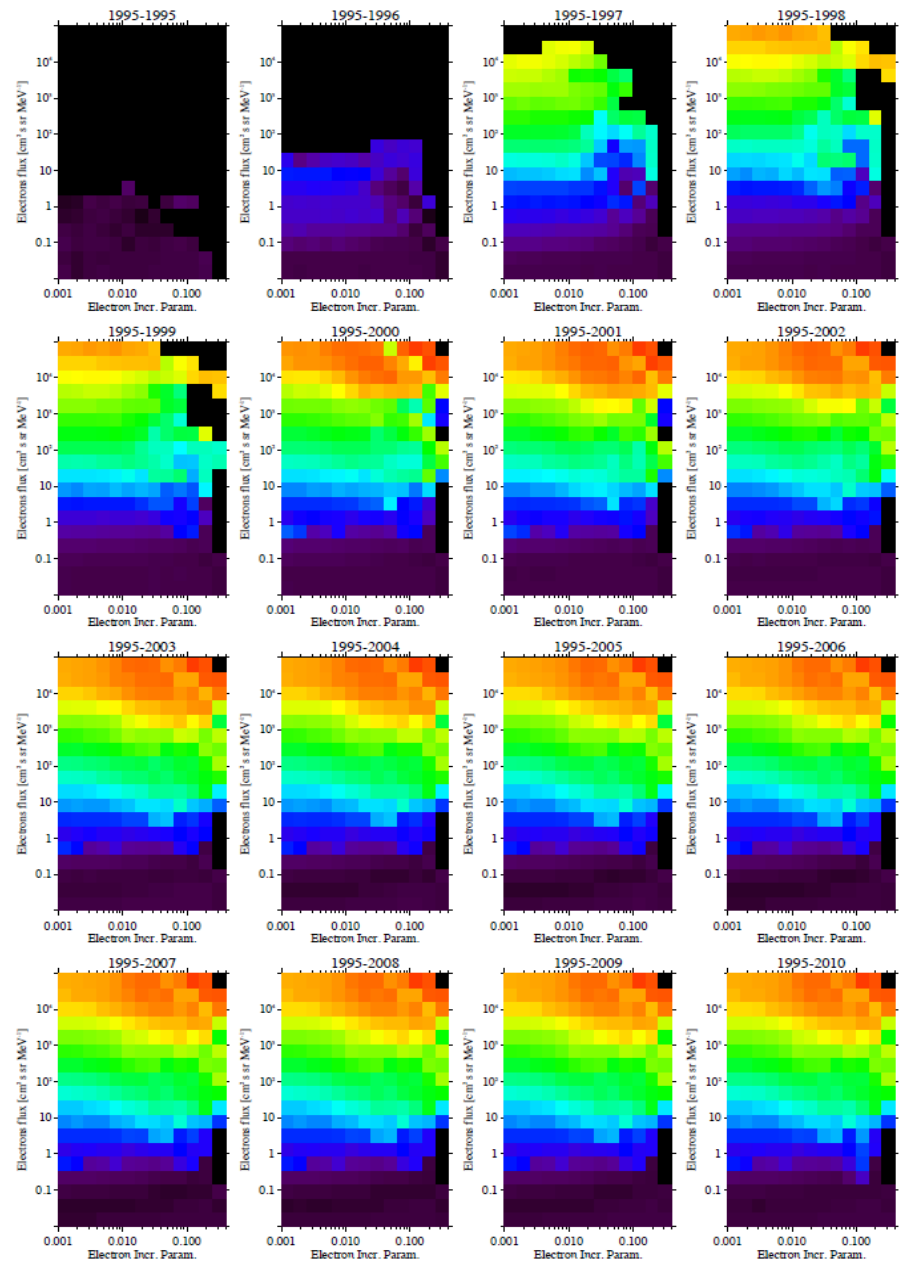
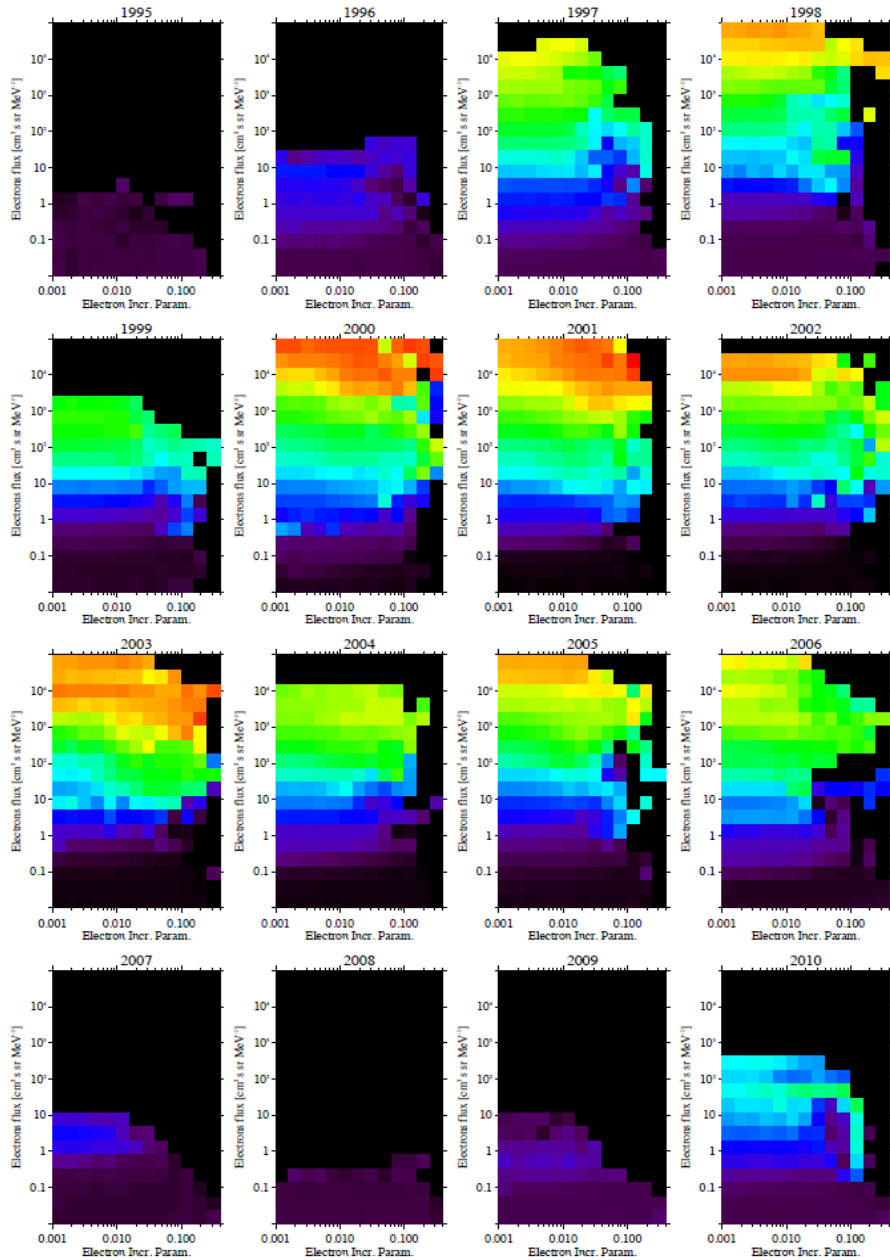


Figure 5. BFO dose rate behind various aluminum thicknesses during Oct 26-Nov 6, 2003 SPE.

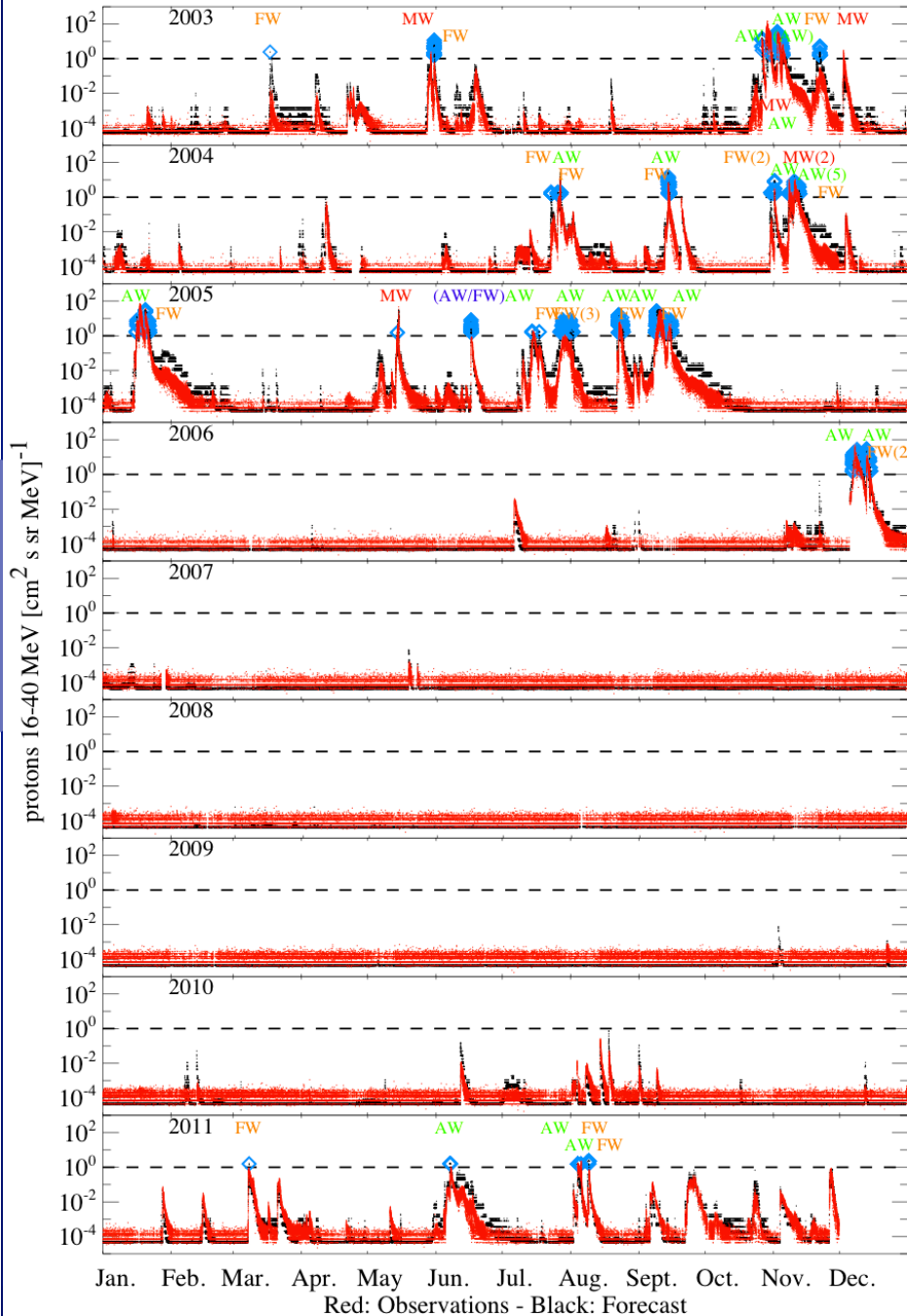
Kim, Hu, and Cucinotta [*Proc. AIAA*, 2005]



REleASE Forecasting Matrix Evolves: 1995-2010

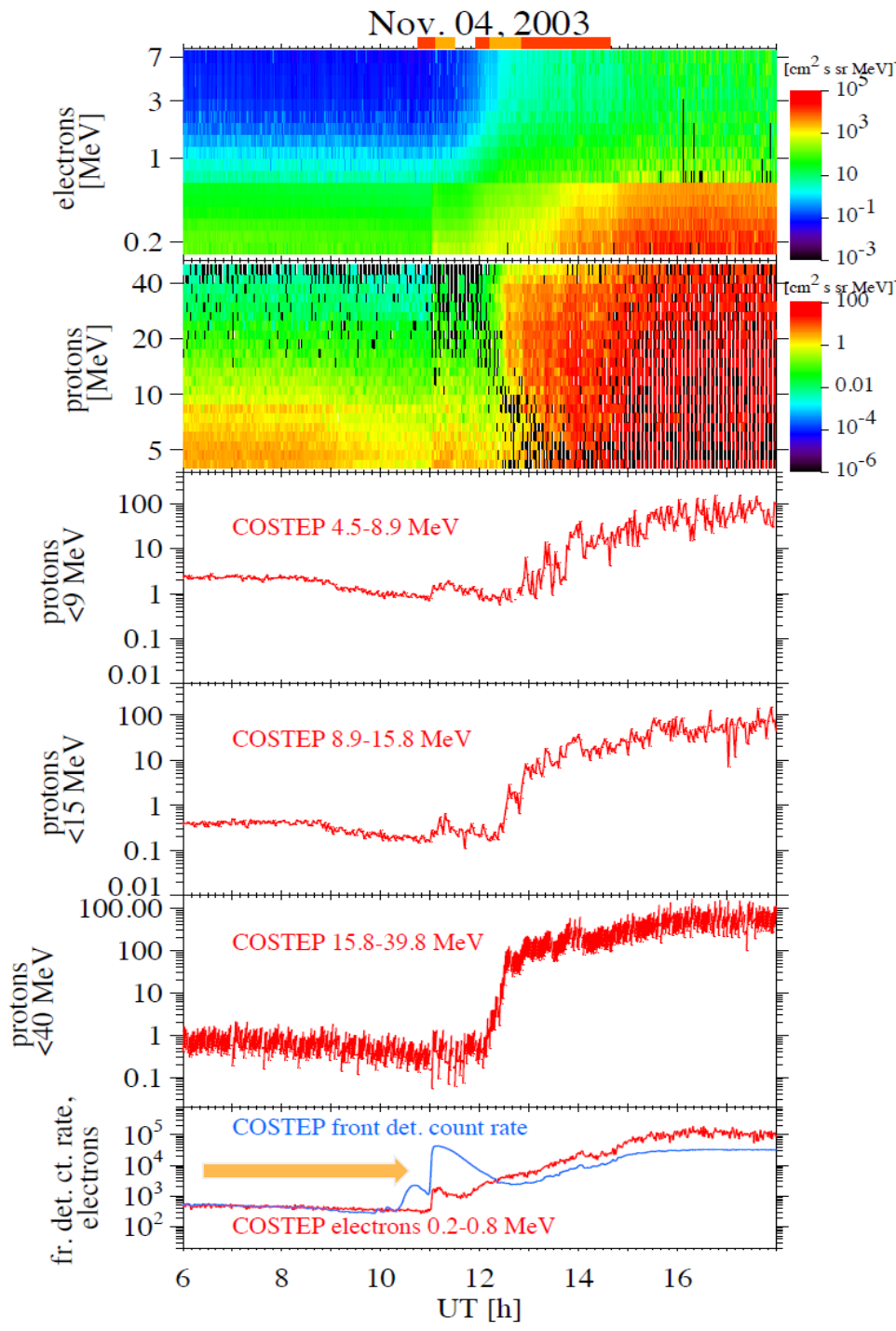


REleASE Forecast vs. Observations 2003-2011



- Threshold is 24pfu for 16-40 MeV protons (others: 10pfu for >10 MeV protons).
- Same methodology as 2007 paper.
- Matrix updated every year.
- **Not corrected for instrumental deficiencies.**

Method	POD	FAR
REleASE/COSTEP (Posner, 2007)	0.79 23/29	0.48 21/44
UMASEP (Nunez, 2011)	0.81 134/166	0.34 69/203
Balch (2008) auto forecaster-in-loop	0.57 0.88	0.55 0.18
Laurenza (2009)	0.63	0.42



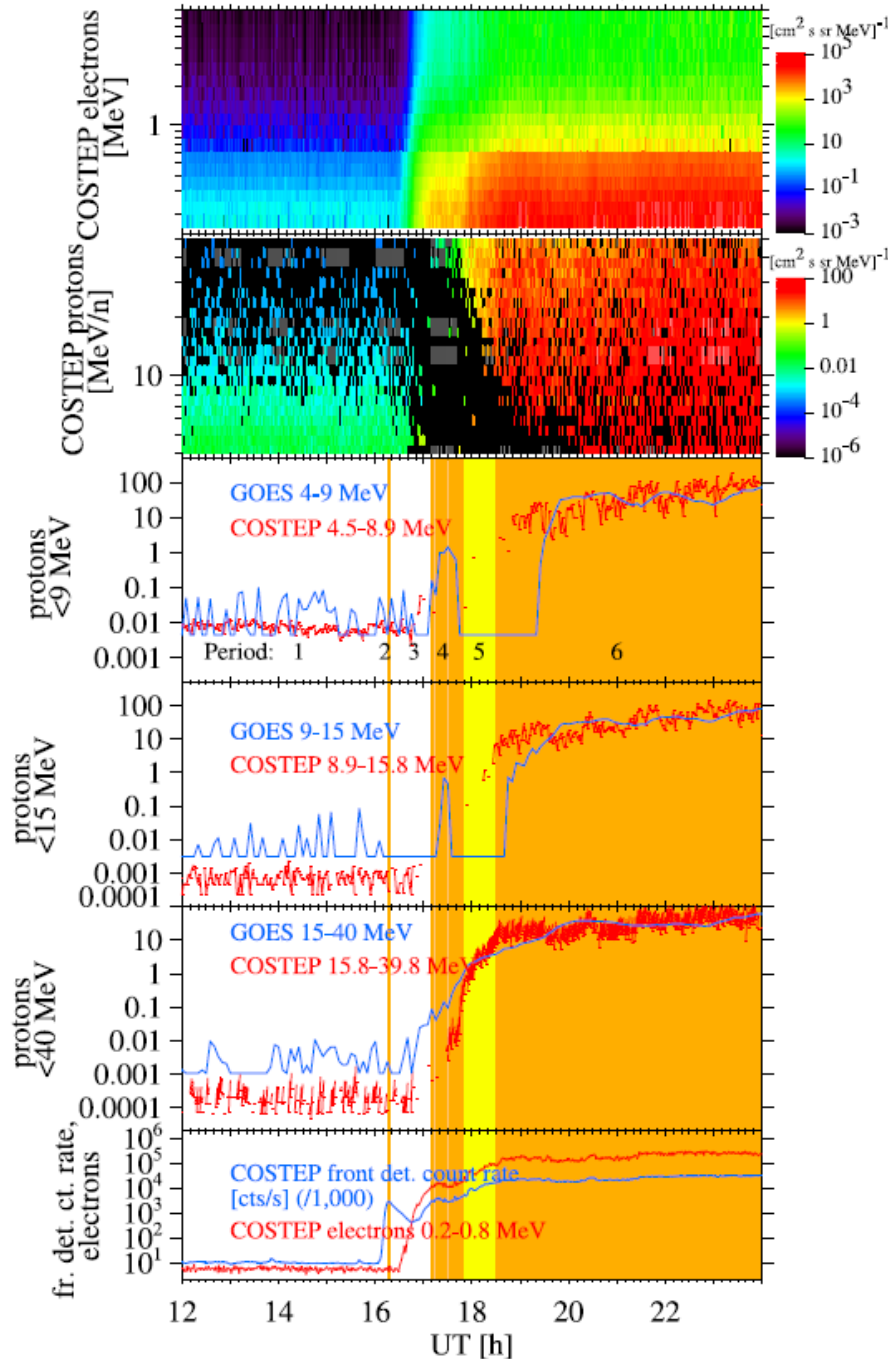
Examples of Instrumental and System Deficiencies

1: COSTEP X-Ray Contamination

Effect: Front Detector Vetoes SEP Electrons Due to High Rate of X-Ray Conversion Electrons

Remedy: More Massive Shielding of Front Detector from Direct Sunlight

SOHO/COSTEP Nov. 04, 2001



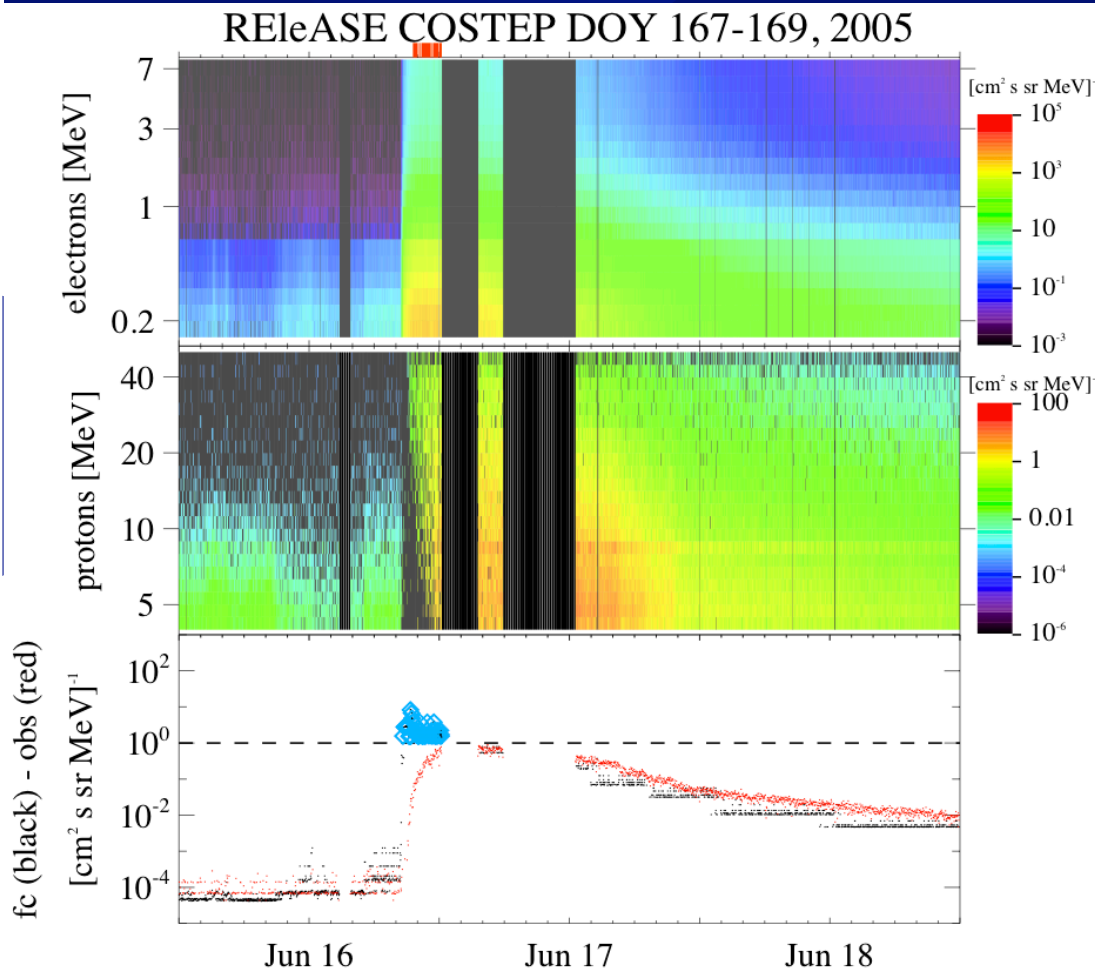
Examples of Instrumental and System Deficiencies

2: GOES/SEM (SEISS?) Passive Shielding Use

Effect: High-Energy Particles Penetrate Passive Shielding, Artificially Increase High-E Count Rates

Remedy:
Veto Through Use of Active Anti-Coincidence Shielding, Pulse-Height Analysis

Examples of Instrumental and System Deficiencies



3: SOHO Data Coverage Gaps

Effect: Loss of Data, Lower Fidelity (in SOHO Key-Hole Periods)

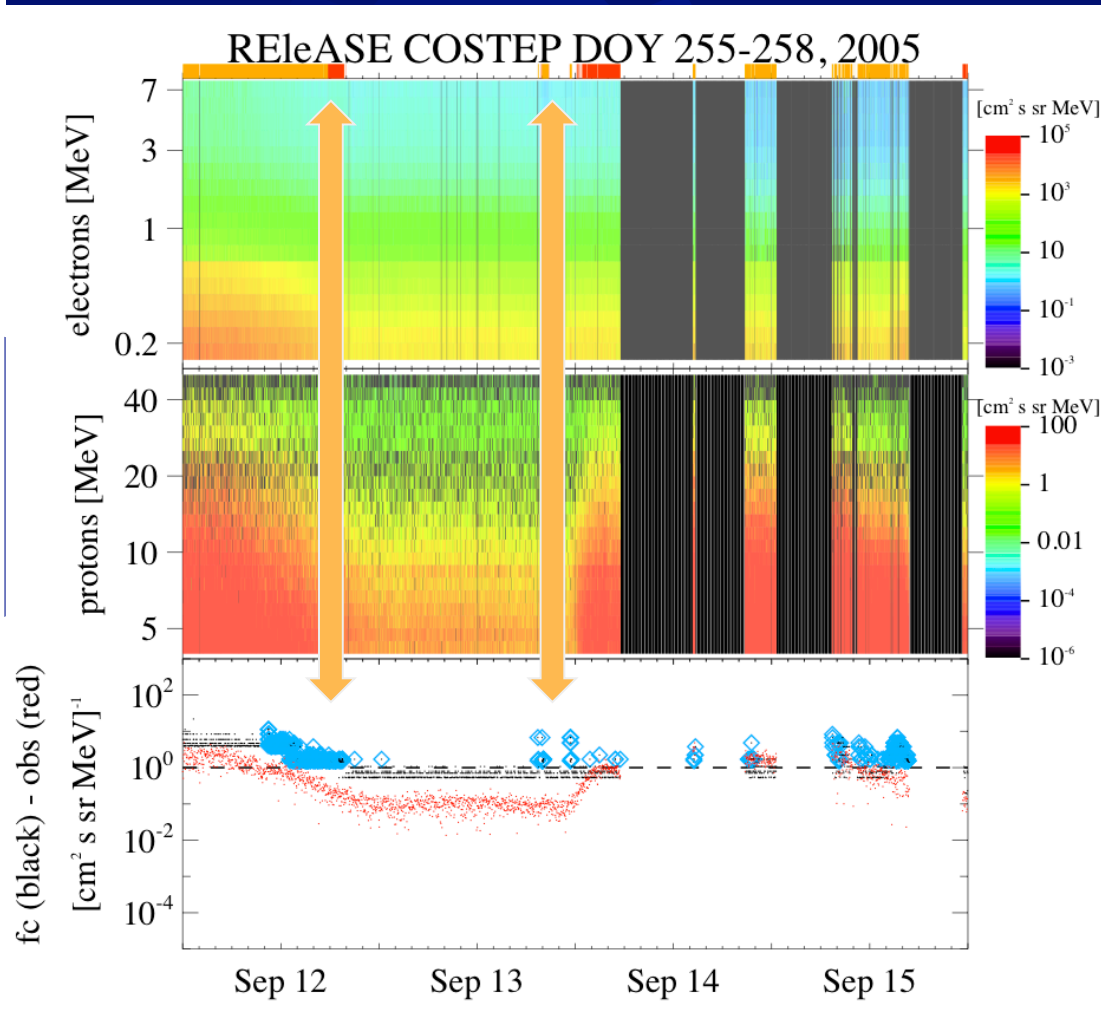
Remedy: Beacon Mode, Transmission Redundancy (small data set)

4: COSTEP e/p Particle Discrimination Threshold

Effect: Proton Channel Buffer Filled with Electrons, Statistical Uncertainty Increased

Remedy: Optimize e/p Particle Discrimination Thresholds

Examples of Instrumental and System Deficiencies



5: **COSTEP** Anti-Coincidence Techniques Inadequate

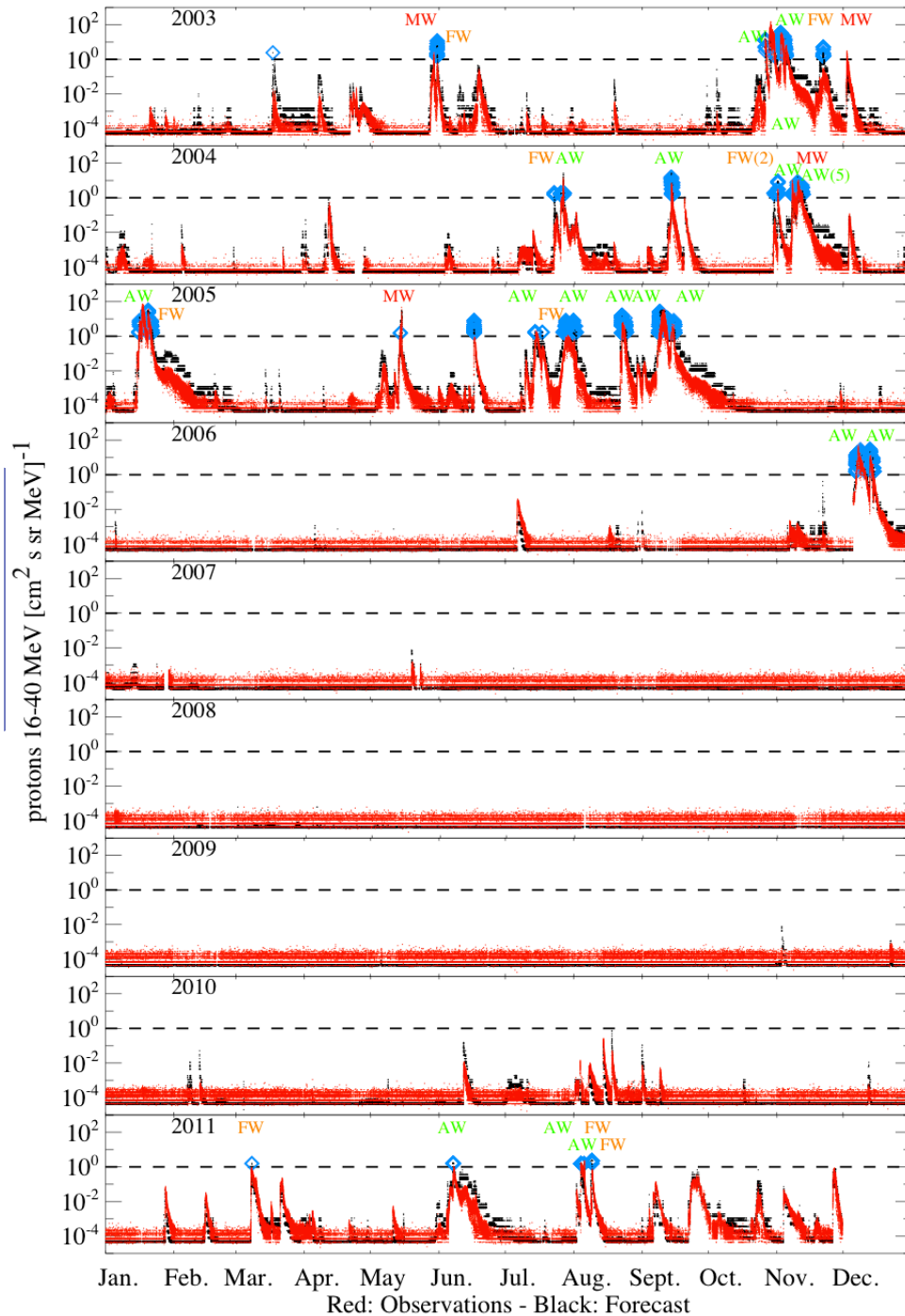
Effect:

Outer Ring of Front Detector Switches Off (Lower # Particles Analyzed), Opens Active Anti-Coincidence Shield

Remedy:

Optimized Mode Changes or Distinct Detectors would Leave Anti-Coincidence Shield(s) Intact, Clean Electron and Proton Spectra

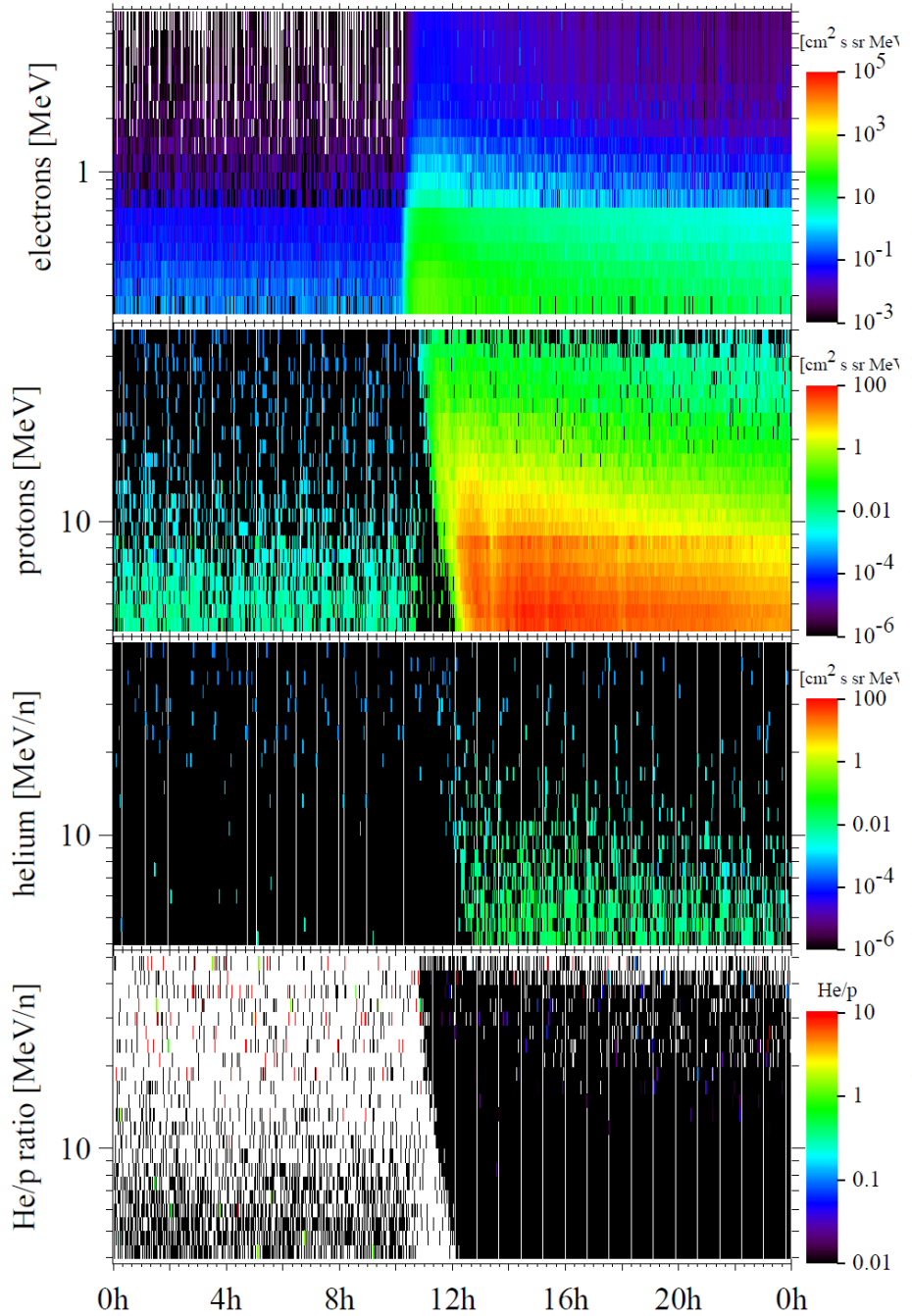
REleASE Forecast vs. Observations 2003-2011



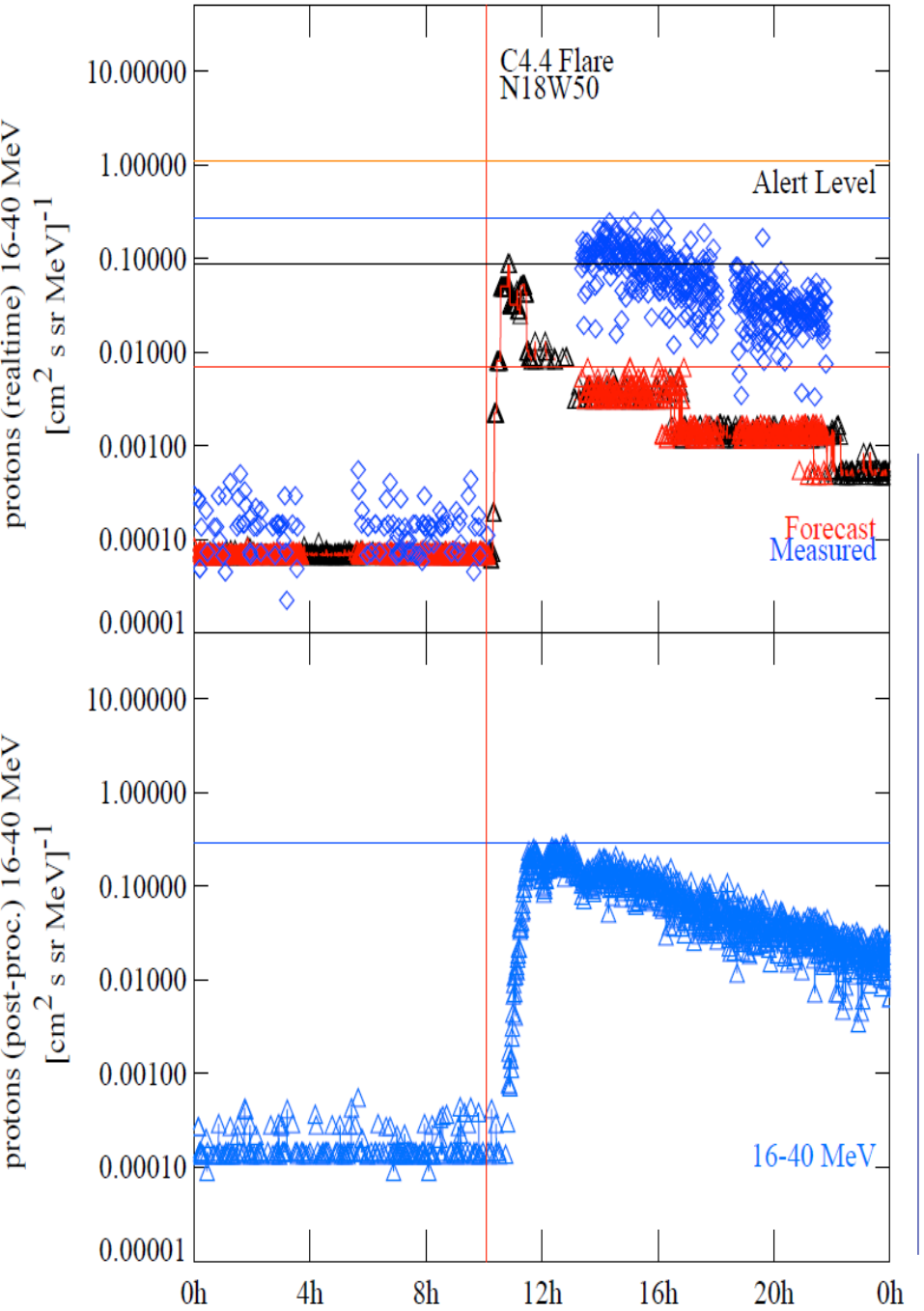
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- Matrix updated every year.
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SOHO/COSTEP DOY 226, 2010

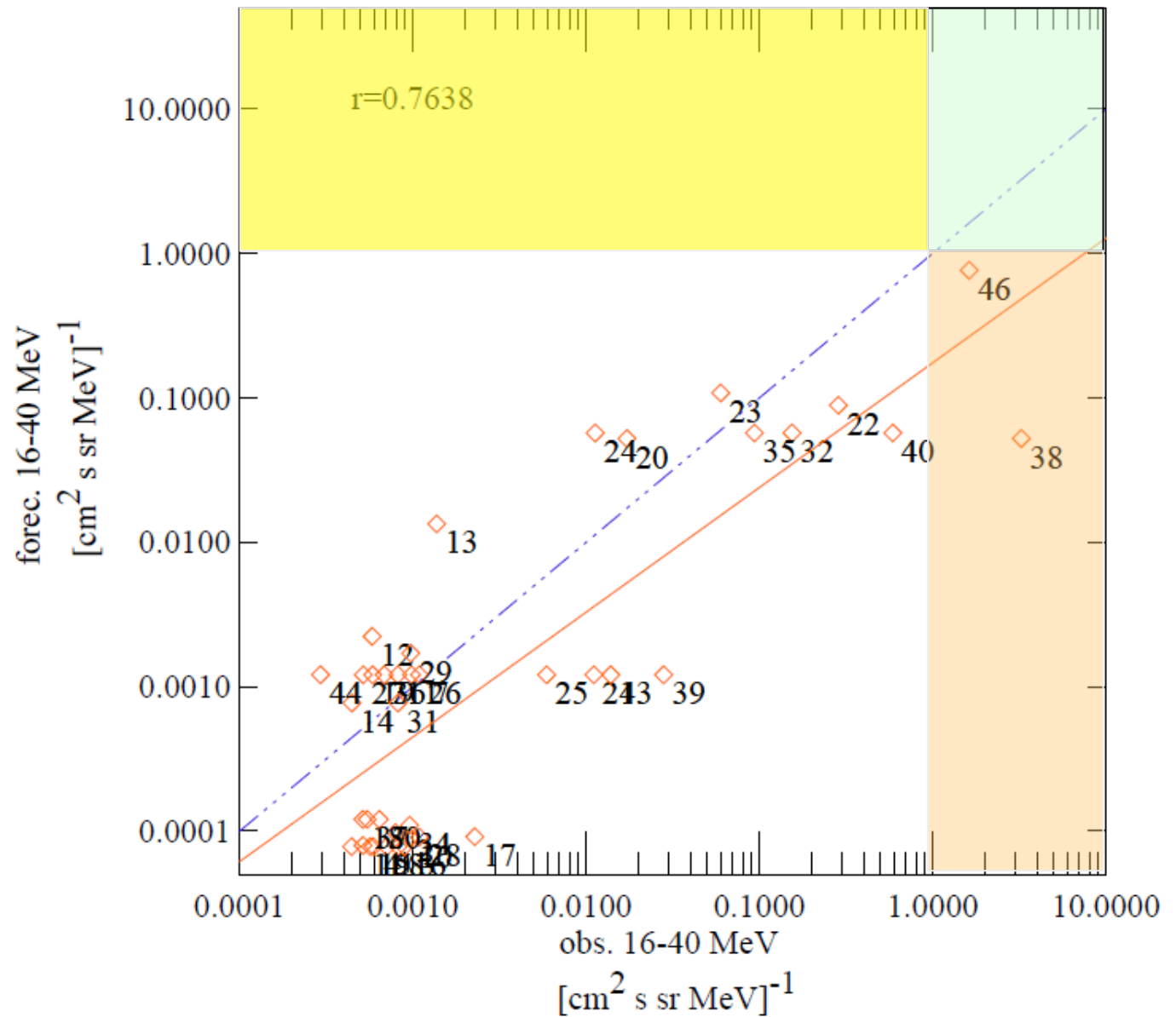


Aug. 14, 2010



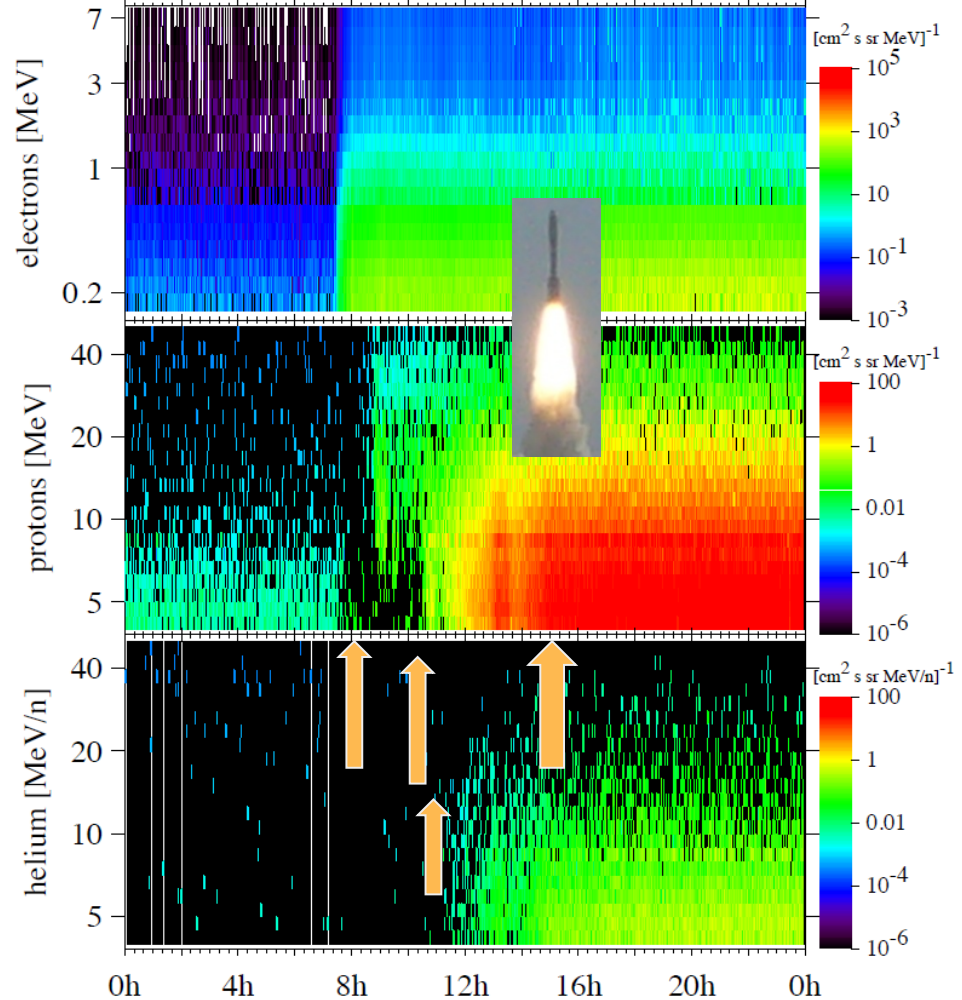


This slide only shows events forecast and/or observed in the energy range 16-40 MeV. Statistics of low numbers of events observed at high intensities.



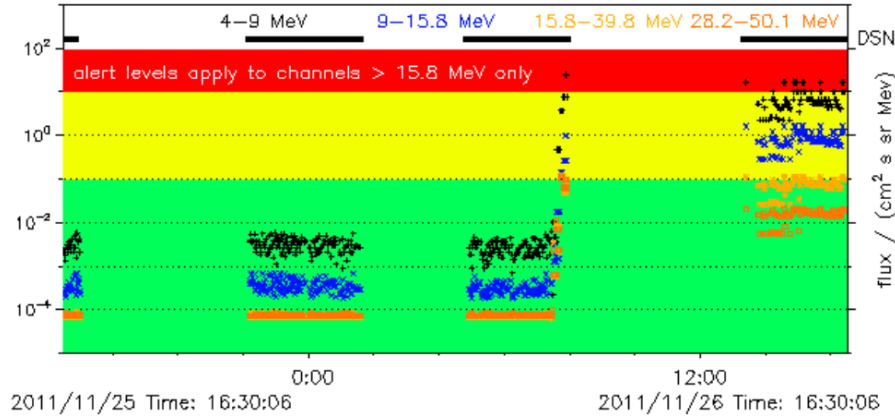


11/26/11 Particle Spectrograms near Earth

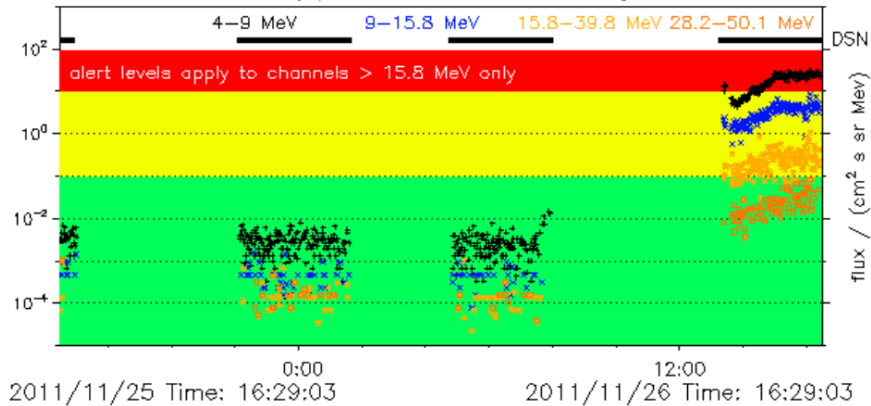


- 0800UT REleASE FC Crosses 10 pfu
- 1113UT SWD Alert Based on REleASE
- 1125UT GOES >10pfu Event Detected
(~1300UT A Well-Informed MSL/RAD Team)
- 1502UT MSL Launch

REleASE proton flux forecast at CCMC (data source: costep2)
by ETPH IEAP CAU Kiel and SWRI – data gaps due to limited DSN coverage

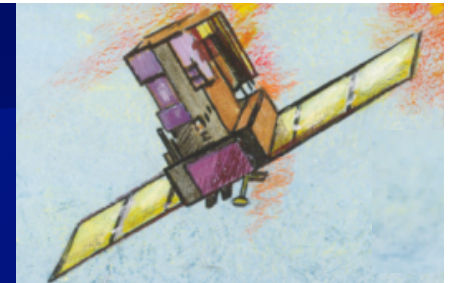


SDHO/COSTEP real-time proton flux at CCMC (data source: costep2)
data gaps due to limited DSN coverage





Summary



Archival V&V:

UMASEP and REleASE Methods Lead Automated SEP Forecasting (followed by Laurenza, Balch Methods)

However, Higher Skills Through Forecasters in Loop

Falconer Method only one to provide SEP All-Clear for On-Disk ARs

REleASE/COSTEP, V&V of all Methods Hampered by System Deficiencies (Instruments SOHO/COSTEP, GOES/SEM, + Downlink)

Improved SEP Forecasting Instruments Needed to Push the Envelope

Live V&V:

Live REleASE/COSTEP: Lower Forecast Fluxes than Archival Performance

Limited Analyzed Event Statistics (2)

Hampered by Limited Downlink

Successful Practical Application at MSL Launch



Acknowledgments

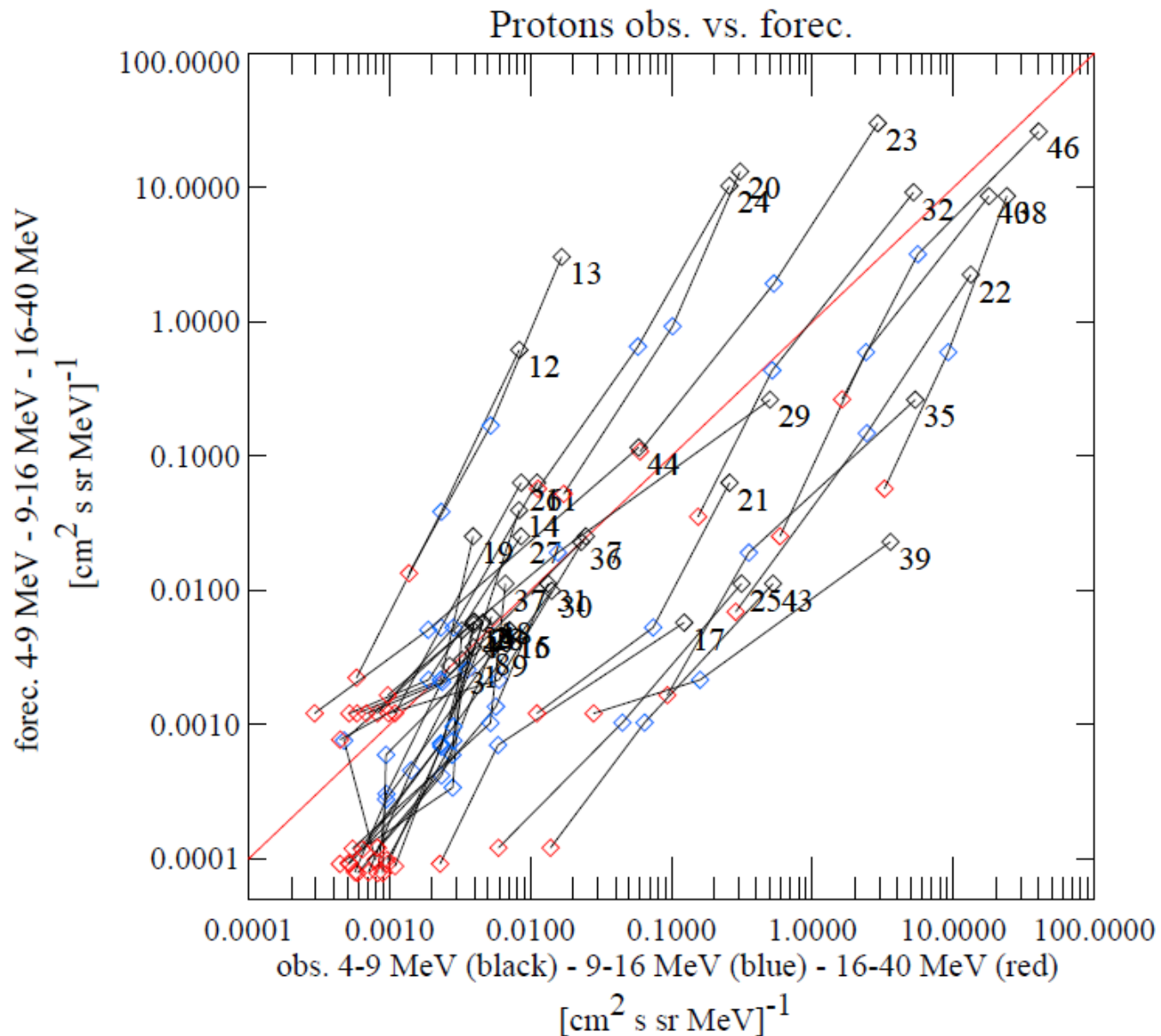
- Univ. Kiel Team: COSTEP-EPH Instrument
- SOHO Team at GSFC (Amy Forinash, Joe Gurman, Bernhard Fleck etc.)
- CCMC Team (L. Rastaetter, M. Hesse, M. Maddox, D. Berrios etc.) for hosting and maintaining REleASE real-time data stream on iSWA
- CCMC Space Weather Alerts (Y. Zheng, A. Chulaki, A. Pulkkinen)



Backup Slides



Observed Peak Intensities for 47 SEP Events
 This list includes all useful SEPs, i.e. SEPs of which sufficient observations exist to provide peak intensities. Some events did not cover peak intensities in realtime data, e.g. #22, #25, #43.

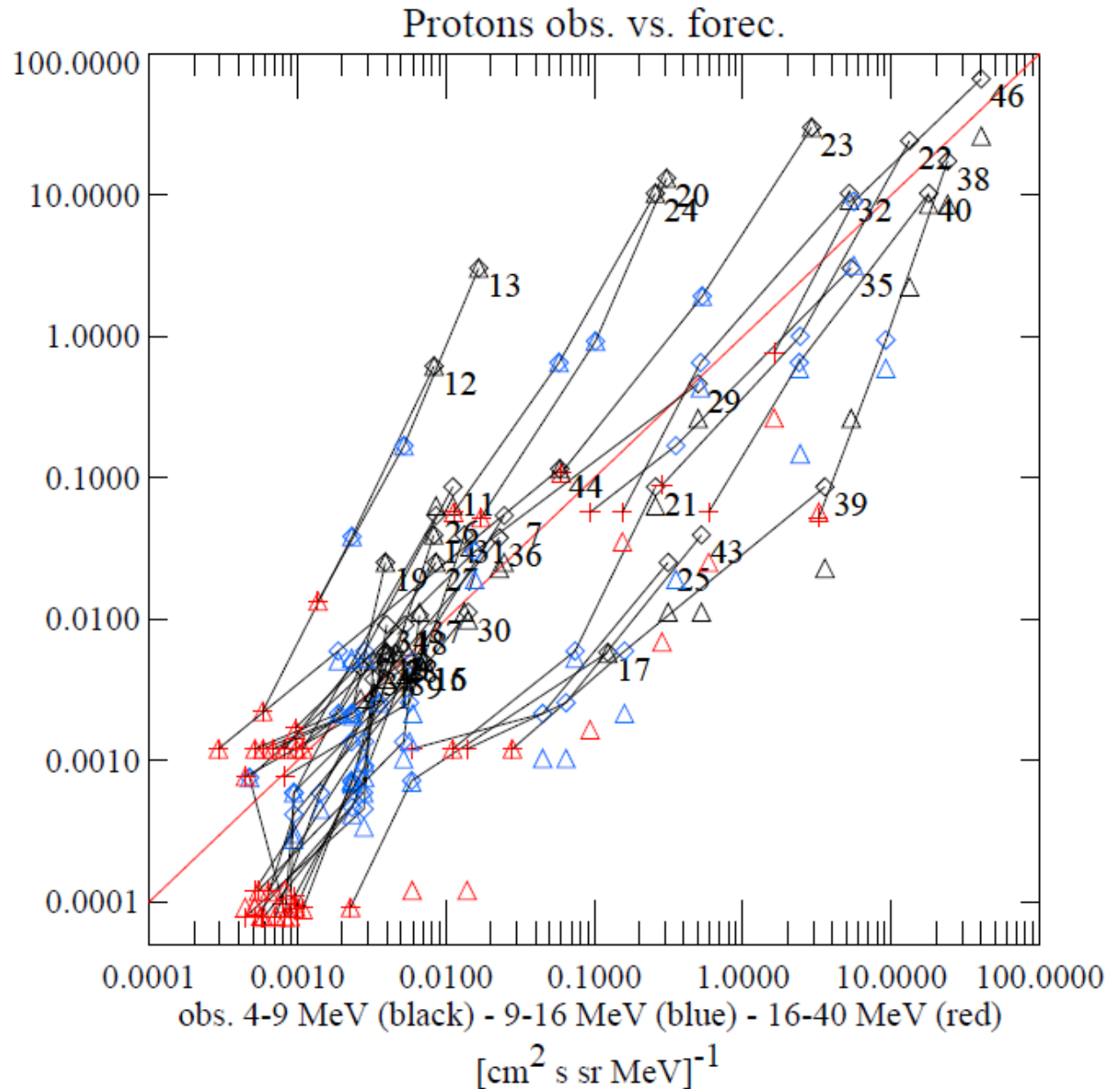




This slide fills real-time data gaps with (meanwhile) archived data.

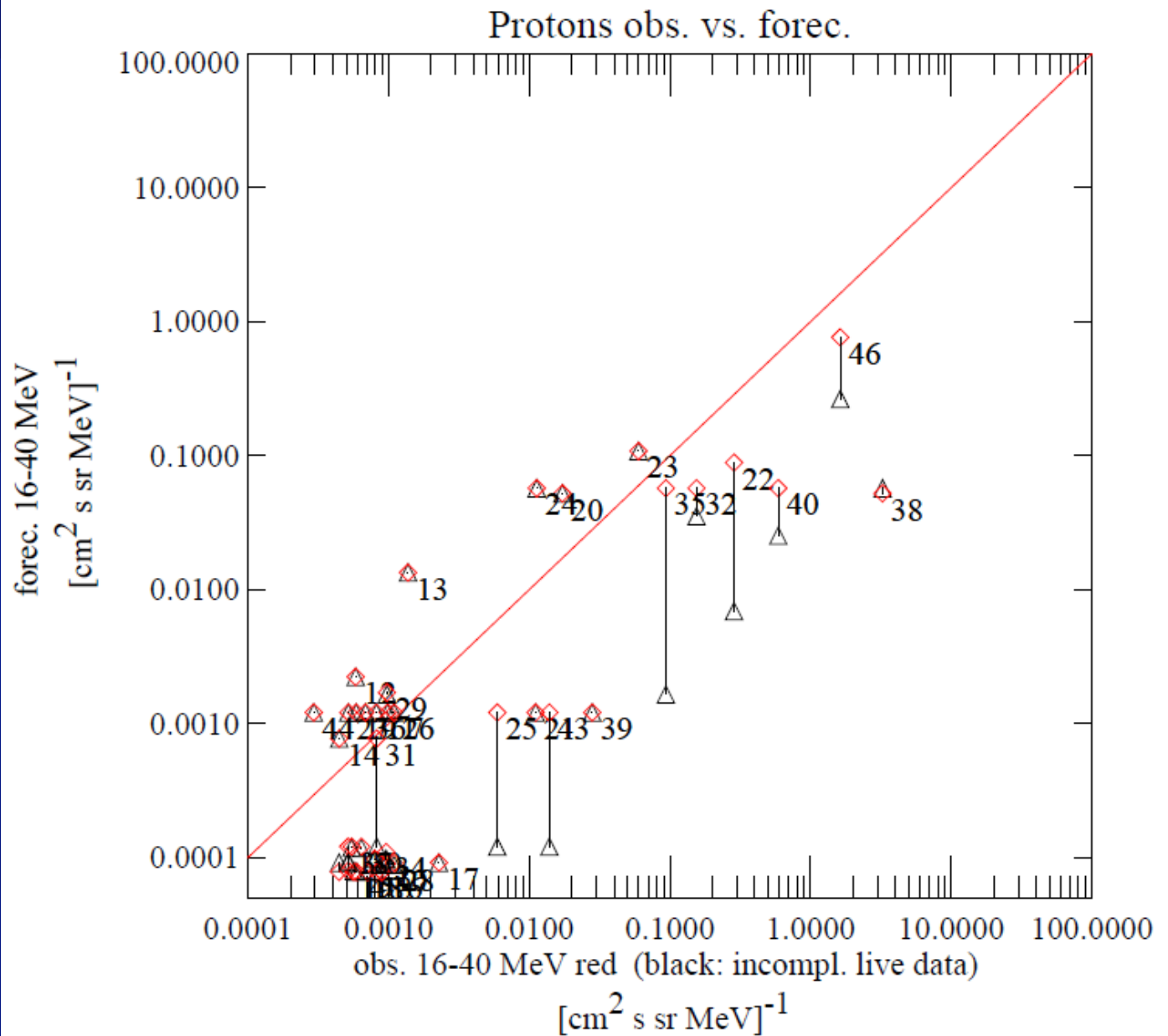
“Gap effect” mostly impacts forecast flux, sets minimum coverage, cadence requirements for SWx method.

forec. 4-9 MeV - 9-16 MeV - 16-40 MeV
 $[\text{cm}^2 \text{ s sr MeV}]^{-1}$

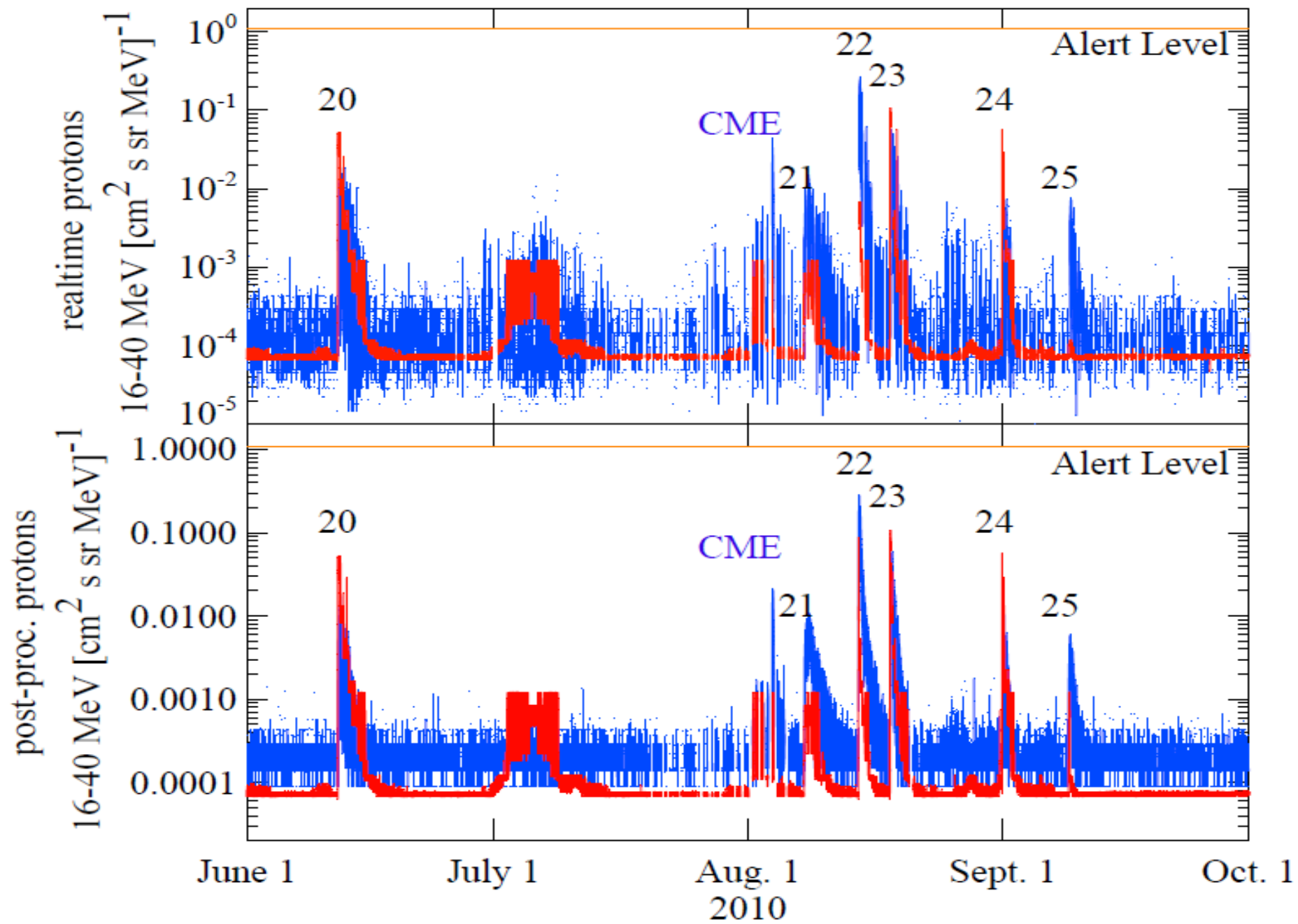




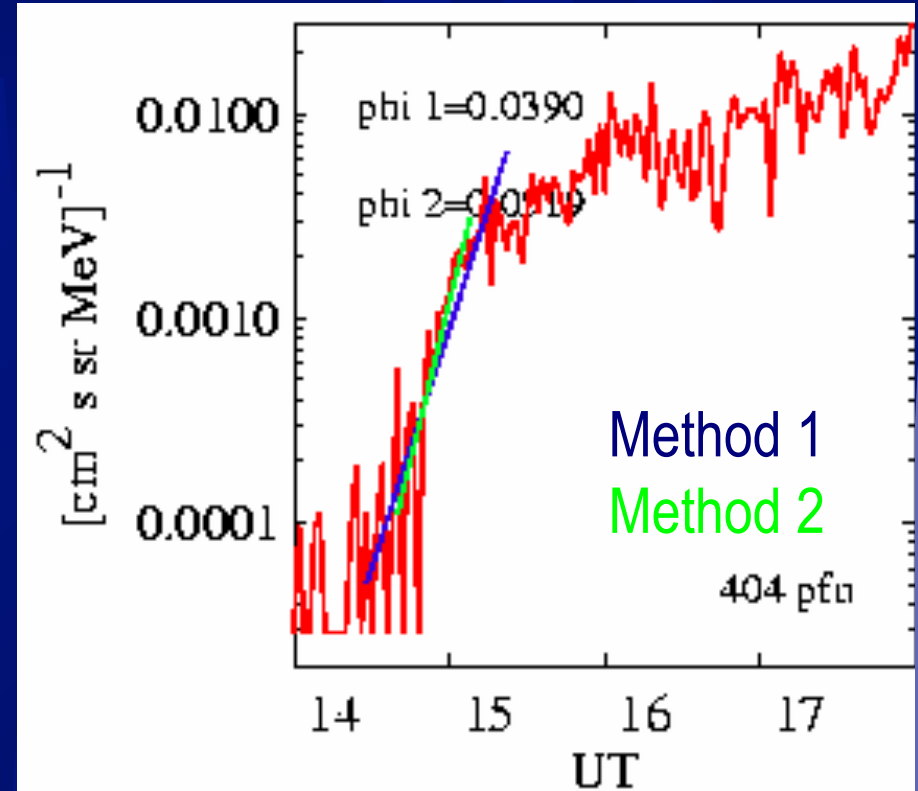
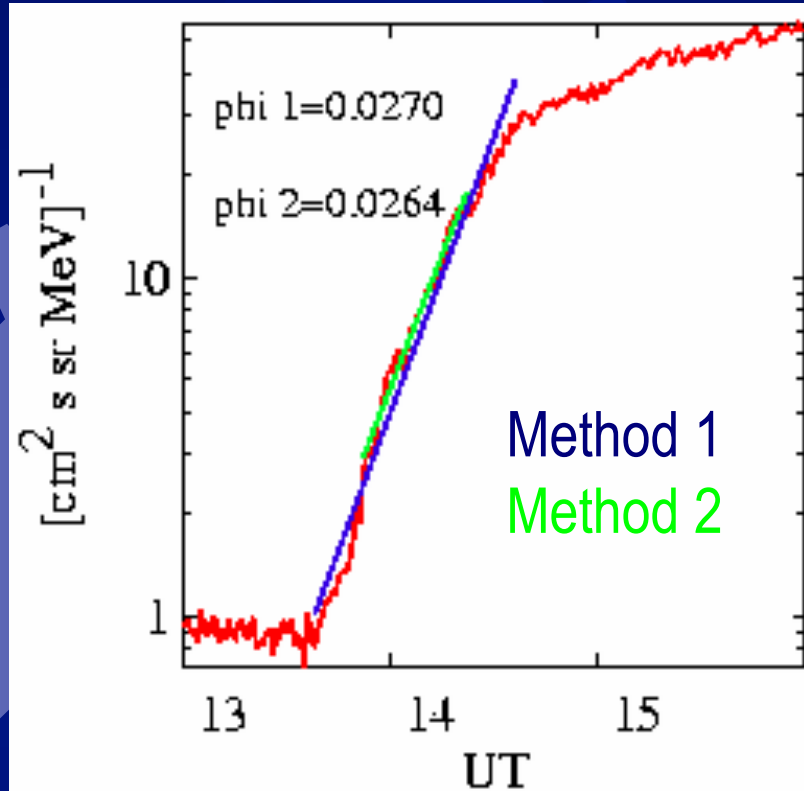
This slide only shows data for the energy range 16-40 MeV, which is relevant for astronaut safety.



Events 20-25



Rise Times for Electrons and Protons in Prompt SEPs



Onset phases of the Nov. 09, 2002 prompt SPE electrons (left) and protons (right). The rates of intensity increase are being determined independently with exponential fits of full (method-1) and center-interval (method-2) periods. The fits are largely independent of the method.

In general, the rise times of electrons and protons are closely correlated.



Cane et al. cont'd:

Group 5: slow-rising, ions peak at shock

Group 4: Fe-poor, e-poor, sign. shock

Group 3: Fe-poor, e-poor, no sign. shock

Group 2: Fe-rich, not e-rich

Group 1: Electron-rich events

Group Linkage to relative timing of metric Type-III emission H- α flare

Group 1: Type-III early

